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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

# SECURITIES AND EXCHANGE COMMISSION.

Plaintiff,

VS.

PAUL MATA, DAVID KAYATTA,  
MARIO PINCHEIRA, SECURED  
CAPITAL INVESTMENTS, LLC,  
LOGOS REAL ESTATE HOLDINGS,  
LLC, LOGOS WEALTH ADVISORS,  
INC., and LIFETIME ENTERPRISES,  
LLC (*dba* LOGOS LIFETIME  
UNIVERSITY),

## Defendants.

Case No. 5:15-cv-01792-VAP-KK

## **FINAL JUDGMENT AS TO LOGOS WEALTH ADVISORS, INC.**

1                   **FINAL JUDGMENT AS TO LOGOS WEALTH ADVISORS, INC.**

2                   The Securities and Exchange Commission having filed a Complaint and the  
3 court-appointed permanent receiver Robert P. Mosier (“Receiver”), acting on behalf  
4 of Defendant Logos Wealth Advisors, Inc. having entered a general appearance;  
5 consented to the Court’s jurisdiction over Defendant and the subject matter of this  
6 action; consented to entry of this Final Judgment without admitting or denying the  
7 allegations of the Complaint (except as to jurisdiction); waived findings of fact and  
8 conclusions of law; and waived any right to appeal from this Final Judgment:

9                   I.

10                  IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is  
11 permanently restrained and enjoined from violating Section 206 of the Investment  
12 Advisers Act of 1940 [15 U.S.C. §§ 80b-6], by the use of mails or means or  
13 instrumentalities of interstate commerce, directly or indirectly:

14                  A. to employ devices, schemes and artifices to defraud clients or  
15                   prospective clients; or  
16                  B. to engage in transactions, practices, and courses of business which  
17                   operate as a fraud or deceit upon clients or prospective clients.

18                  IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
19 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
20 binds the following who receive actual notice of this Final Judgment by personal  
21 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and  
22 attorneys; and (b) other persons in active concert or participation with Defendant or  
23 with anyone described in (a).

24                   II.

25                  IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
26 is liable for disgorgement of \$11,603,590.80, representing profits gained as a result of  
27 the conduct alleged in the Complaint. This obligation shall be deemed satisfied by  
28 the Court-appointed receiver’s final distribution of the funds collected during the

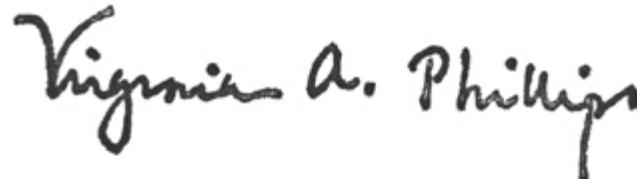
1 course of the receivership.

2 III.

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the  
4 Consent is incorporated herein with the same force and effect as if fully set forth  
5 herein, and that Defendant shall comply with all of the undertakings and agreements  
6 set forth therein.

7 IV.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court  
9 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this  
10 Final Judgment.



11 Dated: January 13, 2017

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13 THE HON. VIRGINIA A. PHILLIPS  
14 CHIEF UNITED STATES DISTRICT JUDGE  
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